

SEP 25 2002

PATENT &amp; TRADEMARK OFFICE

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

9840-041-999

APPLICATION NO.

09/552,576

APPLICANT

Oswald et al.

FILING DATE

April 19, 2000

GROUP

1724

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CTB	AA	10/083,222		Oswald et al.	—	—	10/24/01
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	AJ						YES	NO
	AK							
	AL							
	AM							
	AN							

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

CHESTER T. BARRY  
PRIMARY EXAMINER

DATE CONSIDERED

2/8/03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ATTY. DOCKET NO.

9840-0041-999

APPLICATION NO.

09/552,576

APPLICANT

Oswald, et al

FILING DATE

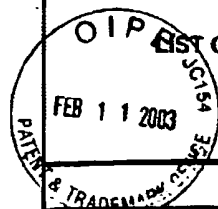
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CTB	AB	US - 5,744,041	04/28/98	John E. Grove			09/19/96

RECEIVED

FEB 19 2003

TC 1700

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

CTB	AC	Green, F.B., Bernstone, T.J., Lundquist, Muir, J., Tresan, R.B. and Oswald, W.J., "Methane Fermentation, Submerged Gas Collection, and The Fate of Carbon In Advanced Integrated Wastewater Pond Systems," <i>Wat. Sci. Tech.</i> Vol. 31, No. 12, pp. 55-65, 1995.
	AD	Green, F. Bailey, Bernstone, L.S., Lundquist, T.J. and Oswald, W.J., "Advanced Integrated Wastewater Pond Systems For Nitrogen Removal," <i>Wat. Sci. Tech.</i> Vol. 33, No. 7, pp. 207-217, 1996.
	AE	Green, F.B., Lundquist, T.J. and Oswald, W.J., "Energetics of Advanced Integrated Wastewater Pond Systems," <i>Wat. Sci. Tech.</i> Vol. 31, No. 12, pp. 9-20, 1995.
	AF	Oswald, W.J., "Advanced Integrated Wastewater Pond Systems," Reprinted from Supplying Water and Saving the Environment for Six Billion People Proceedings/Sessions from 1990 ASCE Convention EE Div/ASCE, San Francisco, CA/Nov. 5-8, 1990.
	AG	Oswald, W.J., "Ponds in the Twenty-First Century," <i>Wat. Sci. Tech.</i> Vol. 31, No. 12, pp. 1-8, 1995.
	AH	Downing, J.B., Bracco, E, Green, F.B., Ku, A.Y., Lundquist, T.J., Zubieta, I.X and Oswald, W.J., "Low cost Reclamation Using the Advanced Integrated Wastewater Pond Systems Technology © and Reverse Osmosis," <i>Wat. Sci. Tech.</i> Vol. 45, No. 1, pp. 117-125, 2002
CTB	AI	Nurdogan, Yakup and Oswald, W. J., "Enhanced Nutrient Removal In High-Rate Ponds," <i>Wat. Sci. Tech.</i> Vol. 31, No. 12, pp. 33-43, 1995.

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CTB	AL	3,444,647	05/1969	Takahashi	—	—	
	AM	3,839,198	10/1974	Shelef	—	—	
	AN	5,820,759	10/1998	Stewart et al.	—	—	
	AO	5,932,099	08/1999	Cote et al.	—	—	
CTB	AP	6,203,700 B1	03/2001	Rose et al.	—	—	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

CHESTER T. BARRY  
PRIMARY EXAMINER

DATE CONSIDERED

8/11/03

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FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DKT NO. B215 1010.1	SERIAL NO. 09/552,576	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>					APPLICANT Oswald and Green		
					FILING DATE 04/19/2000	GROUP 1724	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CL.	SUBCL.	FILING DATE IF APPROP.
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NO.	DATE	COUNTRY	CL.	SUBCL.	TRANSLATION
<b>OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent pages, etc.)</b>							
CTB	D1	Oswald, W.J. <i>et al.</i> , "Performance of Methane Fermentation Pits in Advanced Integrated Wastewater Pond Systems," <i>Wat. Sci. Tech.</i> , 30(12): 287-295 (1994).					
	D2						
	D3						
	D4						
	D5						
	D6						
	D7						
	D8						
	D9						
	D10						
EXAMINER <i>CTB</i>	CHESTERT T. BARRY PRIMARY EXAMINER			DATE CONSIDERED <i>6/29/04</i>			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							